

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/664,283 Confirmation No.: 4534
Applicant : Jodi BRESLIN et al.
Filed : September 17, 2003
Title : SYSTEM AND METHOD FOR MANAGING RISKS ASSOCIATED
WITH OUTSIDE SERVICE PROVIDERS
TC/Art Unit : 3624
Examiner: : Thomas L. MANSFIELD

Docket No. : 72167.000460
Customer No. : 21967

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants hereby submit attached Form PTO/SB/08B for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office ("USPTO") in the above-captioned application.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement ("IDS") is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, this IDS is not to be construed as a representation that a search has or has not been conducted or that no better art exists. Rather, this IDS discloses only the best references of which the Applicants are currently aware.

Applicants wish to inform the Examiner that the attached PTO/SB/08B form is a duplicate of the PTO/SB/08B form that was filed on April 26, 2010, before the issuance of the Notice of Allowance on May 19, 2010. Because it was discovered the USPTO misplaced the PTO/SB/08B form that was filed on April 26, 2010, Applicants had to re-file the PTO/SB/08B form on May 27, 2010. As such, Applicants respectfully request that the Office remove the Notice

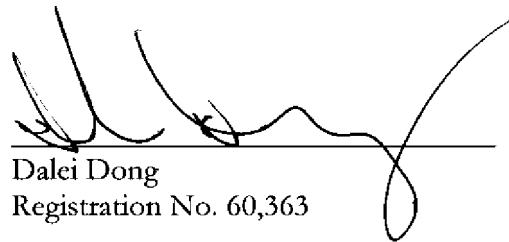
of Non-Compliant IDS, issued June 25, 2010, from record. A copy of the original date stamped IDS filing receipt is attached herewith for the Examiner's convenience.

Consideration of the foregoing plus the prompt return of a copy of the enclosed Form PTO/SB/08B with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

It is believed that no fees are required for this submission, but should any fees be necessary, the USPTO is authorized to charge such fees to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

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Dated: July 26, 2010

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PATENT

☐ PROVISIONAL
☒ UTILITY

☐ DESIGN
☐ PCT

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Client/Matter No.: 72167.000460

Client Name: J.P. Morgan Chase

Inventor(s): Jodi BRESLIN et al.

ATTY./Asst.: DD/mc

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OUTSIDE SERVICE PROVIDERS

The following has been received in the U.S. Patent and Trademark Office on the date
stamped hereon:

- ☒ SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
- ☒ FORM PTO/SB/08B (23 SHEETS) WITH TEN (10) FOREIGN AND FIFTY-TWO (52)
NON-PATENT LITERATURE REFERENCES ENCLOSED
- ☒ IDS FILING RECEIPT (POSTCARD)



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